

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Koike et al

Appln. No.:

09

546,227

Atty.Dkt.

PM

268032

NDNJNDG468US

Series Code ↑

Serial No. ↑

M#

Client Ref

Attn: Application Division

Filed: April 10, 2000

Title: A CERAMIC SUPPORT CAPABLE OF SUPPORTING A CATALYST, A CATALYST-CERAMIC BODY AND PROCESSES FOR PRODUCING SAME

Hon. Asst. Commissioner of Patents
Washington, DC 20231

Date: August 9, 2000



Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
 2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy
- (Always "X" box 2 if filling signed Declaration and
"X" box 2A only if top box of the Declaration is X'd and file application copy, or
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)
- 2A. ☒ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
 - 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
 3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) No of Sheets
 - ☐ Fig(s).
 4. ☐ Letter filing formal drawing attached.
 5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
 6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN
- 8.

Application No.	Filing Date	Application No.	Filing Date
(1) 11-102547	April 9, 1999	(2) 2000-47467	February 24, 2000
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ filed on _____
10. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
11. ☒ Attached: Information Disclosure Statement, PTO Form 1449 and Cited Reference
12. ☒ Preliminary Amendment: Attached

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$310/\$155		106/26
Not Design Application				\$690/\$345	+690	101/201
14. Total Effective Claims	148	minus 20 =	128	x \$18/\$9	+2304	103/203
15. Independent Claims	24	minus 3 =	21	x \$78/\$39	+1638	102/202
16. If <u>any</u> proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$260/\$130	+260	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =					\$5022	
19. Original due date: August 14, 2000						
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+0 115/215
				(2mos)	\$380/\$190 =	116/216
				(3mos)	\$870/\$435 =	117/217
				(4mos)	\$1360/\$680 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =					\$5062	

Our Deposit Account No. 03-3975

Our Order No. 11349

268032

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: TJK/GTB:emg

By Atty: Timothy J Klima / Glenn T. Barrett Reg. No. 34852 / 38705

Sig: [Signature] Fax: (202) 822-0944
Tel: (202) 861-3662

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

FORMALITIES LETTER



OC000000005176067

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/546,227	04/10/2000	Kazuhiko Koike	PM268032

Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 New York Avenue NW
Ninth Floor
Washington, DC 20005-3918



Date Mailed: 06/14/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$4166.
 - \$2268 for 126 total claims over 20.
 - \$1638 for 21 independent claims over 3.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4986.**

*A copy of this notice **MUST** be returned with the reply.*

M. May
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/11/2000 0601TOM 00000045 09546227
 01 FC:101 690.00 00
 02 FC:105 130.00 00
 03 FC:102 1638.00 00
 04 FC:103 2304.00 00
 05 FC:104 260.00 00